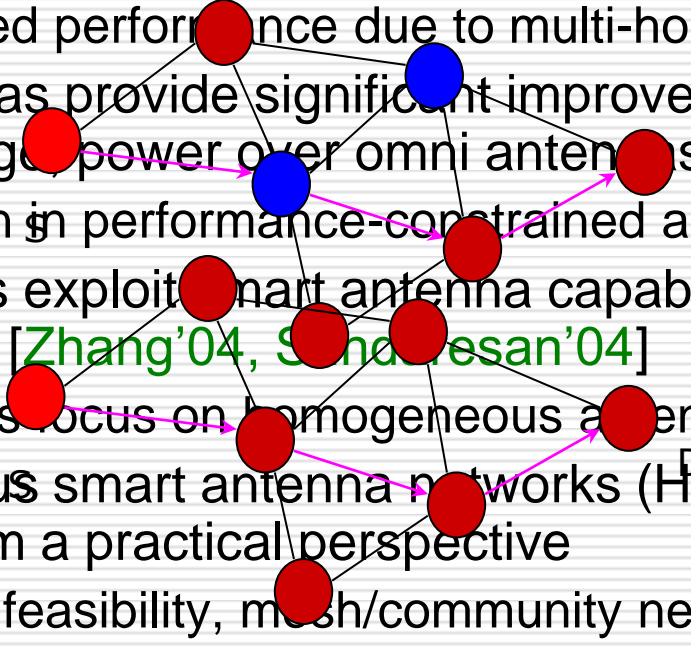


Cooperating with Smartness: Using Heterogeneous Smart Antennas in Ad-hoc Networks

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Introduction

- Ad-hoc networks are multi-hop wireless networks
 - Constrained performance due to multi-hop, mobility, interference
 - Smart antennas provide significant improvements in capacity, reliability, range, power over omni antennas
 - Application in performance-constrained ad-hoc networks
 - Several works exploit smart antenna capabilities at MAC and routing layers [Zhang'04, Saha'04]
 - Most works focus on homogeneous antenna networks
 - Heterogeneous smart antenna networks (HSANs) are more promising from a practical perspective
 - Economic feasibility, mesh/community networks, digital battlefields
 - Focus: **Efficient utilization of heterogeneous antenna capabilities available in HSANs**
 - **Achieved through a simple form of node cooperation**
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Outline

- Smart antennas overview
 - Motivation for cooperation in HSANs
 - Some properties of node cooperation
 - Adaptive cooperation mechanism
 - MAC protocol for cooperation
 - Performance evaluation
 - Conclusions
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Smart antenna

- Switched-beam (directional) and adaptive arrays
 - Beamform energy in specific patterns to provide higher SNR in desired directions
 - Pre-determined patterns in switched beam effective only in LOS
 - Adaptive patterns required for multipath environments
 - necessitate adaptive arrays
 - SNR gain bounded by 'K²', (K-# elements)
 - Gain (G) exploited for increased reliability, rate, or range, or reduced power
 - Exploitation of SNR gain for reliability and rate: $C = \log(1+\rho G)$
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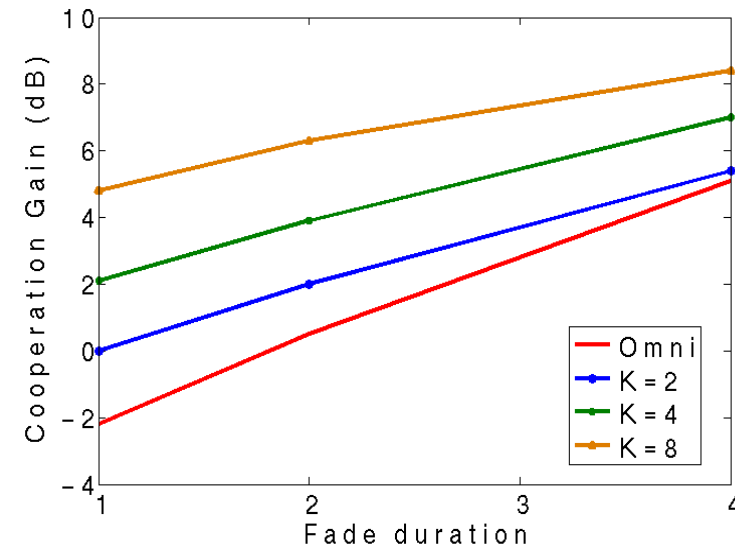
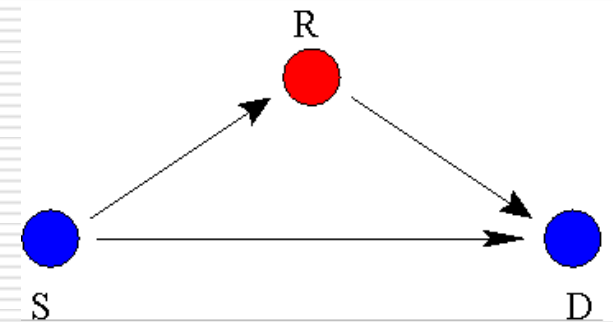
Motivation

- Simple form of node cooperation: retransmit diversity
- Neighbors of 'S' exploit broadcast advantage to assist 'D' in successful reception of pkts during fading losses
- Diversity benefits in omni networks only if 'R' has better link gain to 'D' and in time-correlated fading

👎 Not common characteristics!

👍 Large benefits in HSANs

- ✓ Low gain omni nodes exploit high gain smart neighboring nodes for improved retransmit diversity gains
- ✓ Efficient exploitation of smart antenna capabilities by replacing omni retransmissions with smart ones
- ✓ Simple deployable mechanism: only one relay node exploited

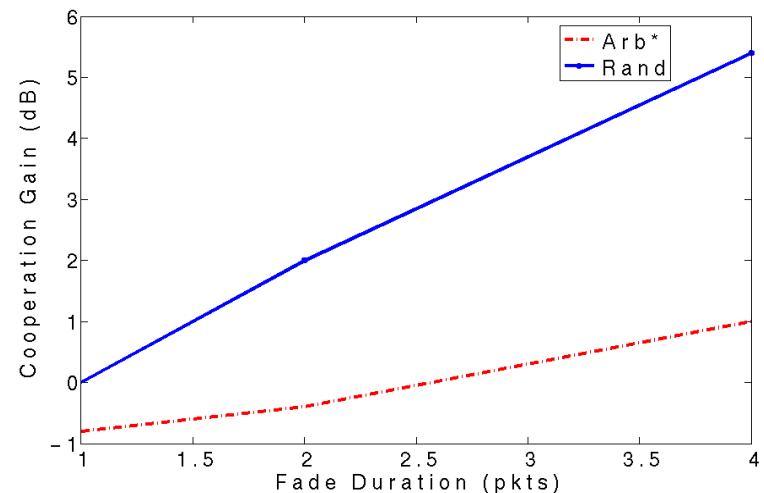
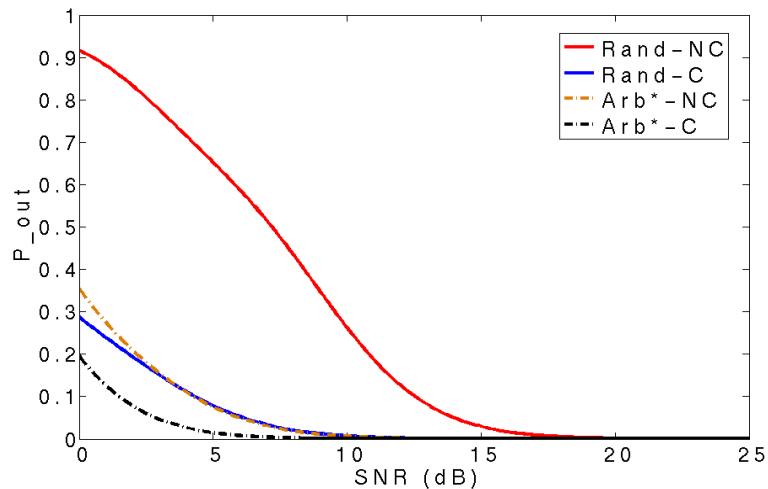


Environment

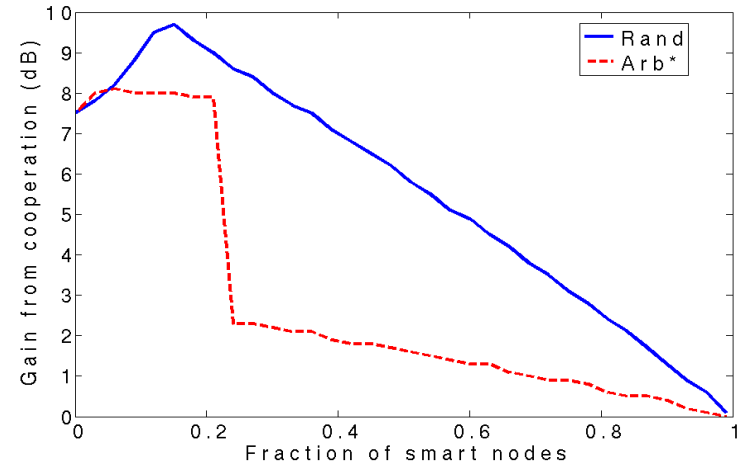
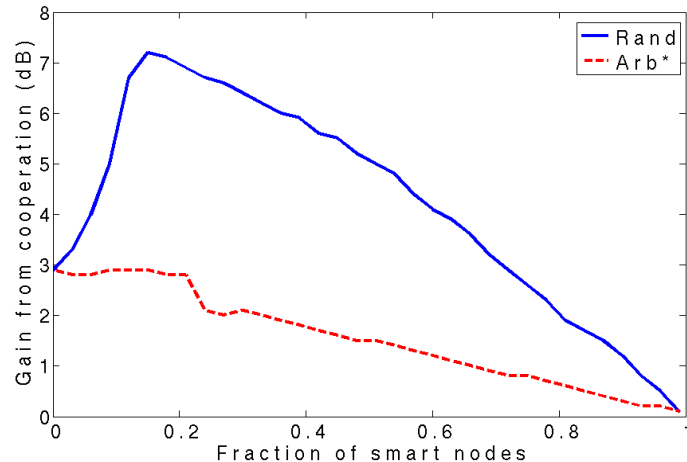
- Network model
 - Mixture of omni and smart nodes
 - Random: random node placement and traffic pattern
 - Arbitrary*: controlled node placement of some nodes, random traffic pattern
 - Controlled nodes form a routing backbone, consisting of mainly smart nodes; eg. Mesh networks with smart mesh routers
 - Link model
 - Block (time-correlated) Rayleigh fading
 - Fixed # MAC layer retransmissions: 'F' retrials
 - Basic communication model
 - No cooperation: available antenna gain used for reliability at smart node transmitters, relays do not participate
 - Cooperation: neighboring nodes within communication pattern of S and D participate in cooperation during fading losses
 - Metric
 - Outage probability and cooperation gain (SNR gain in dB)
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Properties of Cooperation Gain

- *Property 1: $G_{C_R} > G_{C_A}$*
 - Cooperation gains are more in random networks; although absolute performance is better for arbitrary*
 - More room for exploitation of smart antenna capabilities in random nets
 - Tool for bridging performance gap



Properties of Cooperation Gain



- **Property 2:** G_{C_R} has a concave nature in the fraction of smart nodes in network
- Higher degree of heterogeneity results in higher degree of cooperation
 - Homogeneous omni better than homogeneous smart
 - Increasing # smart nodes increases spatially sensitive transmissions but reduces potential for cooperation

Tradeoff in Random Networks

- Increasing # smart nodes, antenna elements
 - More antenna gain – higher absolute performance (rate/reliability)
 - More spatial sensitive transmissions – lesser cooperation gain (diversity)
 - Fundamental tradeoff in exploiting antenna gain and cooperation gain!
 - Relative importance of gains depends on fading conditions and availability of smart nodes
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Tradeoff in Random Networks

- C_{ant} : all antenna gain used for reliability on experiencing fading loss
 - C_{coop} : switch to omni mode after loss to favor cooperation

 - *Property 3*
 - $G_{ant} \geq G_{coop}$; $f \rightarrow 1$ and $p_{x \rightarrow} \rightarrow 1$; or $p_{x \rightarrow} \rightarrow 0$
 - $G_{coop} \geq G_{ant}$, $f \rightarrow F$ and $p_{x \rightarrow} \rightarrow 1$

 - C_{ant} : best strategy under fast fading + smaller # smart nodes
 - C_{coop} : best strategy under block fading + larger # smart nodes
 - Difficult to estimate dynamic fading statistics
 - Need an adaptive mechanism transparent to fading statistics
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Adaptive Mechanism

- C_{adap} strikes a balance between C_{ant} and C_{coop}
 - On fading, employs an intermediate stage of transmission on reduced # elements (three)
 - Favors higher cooperation while retaining some antenna gain
 - On further loss, returns to maximum antenna gain if smaller degree of smart neighbors
 - Moves to omni if sufficient smart neighbors
 - Probabilistic guarantee of locating a relay: at least 1/3 that of an omni transmission
 - Better than rate adaptation schemes
 - Incorporation in a distributed MAC protocol
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Distributed Channel Access

- Weighted proportional fairness model
 - Proportional fairness provides good tradeoff between fairness and efficiency
 - Weighted arises from heterogeneous link gains
 - Notion of fairness in channel access time
 - Distributed persistence-based access adaptation:
 - w_i proportional to link gain
 - Link weight scaled by two constants: c_1, c_2
 - Cooperating links contend with higher priority than source links of similar type: $c_2 > c_1$
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Protocol Operations

- RTS-CTS-DATA-ACK
 - Omni RTS, CTS
 - Neighbors identify feasibility for cooperation
 - Data/ACK transmitted using nature of source/destination
 - Pre/post-ambles for fading loss detection
 - NACK transmitted on omni
 - Indicates need for cooperation
 - Source initiates adaptive cooperation mechanism
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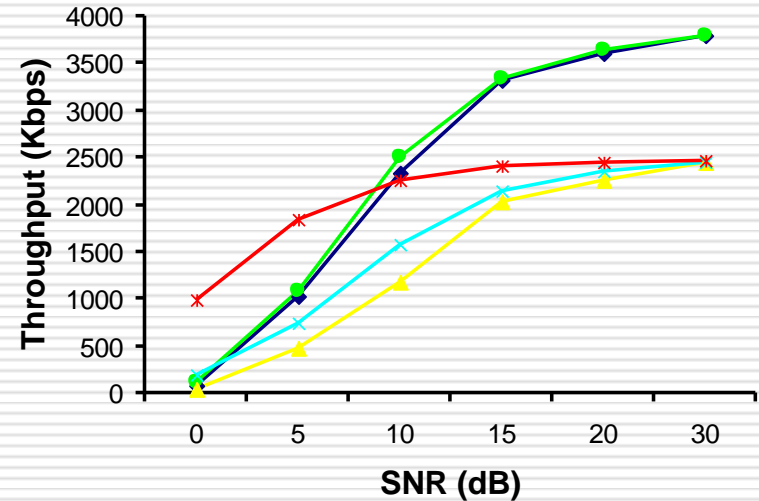
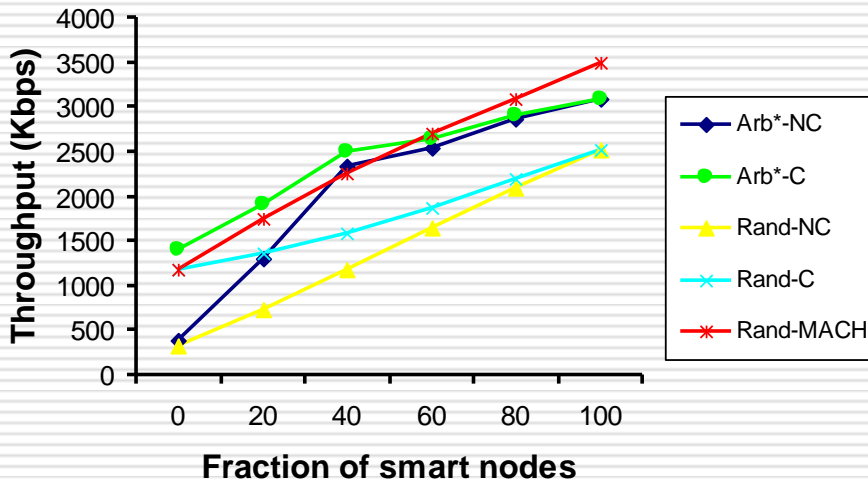
Protocol Operations

- Relays store DATA pkt if decoded
 - Contention winner determines participating relay
 - Favors cooperating neighbor with high gain
 - Winner sends omni RTS
 - Omni CTS confirms pkt responsibility transfer to R
 - S, other contenders drop DATA
 - DATA/ACK sent using R/D mode
 - Lack of cooperation
 - S falls back to omni/ant gain based on smart neighbor degree
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Performance Evaluation

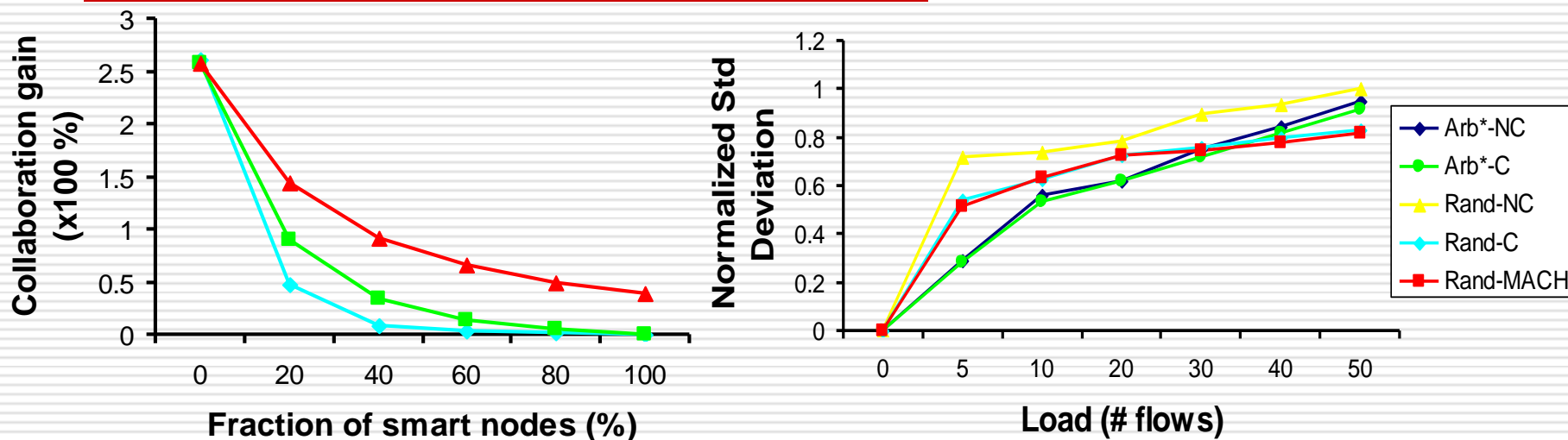
- Set-up
 - ns2 simulator used for evaluations
 - 100 nodes in 1000m x 1000m
 - Random source-destination pairs
 - Backlogged sources with UDP
 - Environment
 - LOS (omni+directional) and NLOS (omni+adaptive)
 - Random and arbitrary* networks
 - Strategies and metrics
 - Non-cooperation (NC), basic cooperation (C), MACH
 - Aggregate throughput, cooperation gain, throughput standard deviation
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Throughput Results (1)



- C brings more gains in random networks
- MACH provides gains close to 100% over C
 - Higher gains at larger fraction of smart nodes
- Gains more at low SNR region, emphasizing cooperation
 - C improves over NC by 2 folds and MACH over C by 3 folds

Cooperation & Fairness Results (2)



- Increasing smart nodes reduces cooperation gain
 - MACH retains 40% gain even in all-smart network unlike C
- Fairness
 - MACH gains not from aggressive channel access by smart nodes – fairness measure better for MACH than for C

Conclusions

- Motivated the potential for a simple form of node cooperation in HSANs
 - Highlighted importance in random networks
 - Analysis to capture some key properties of cooperation in HSANs
 - Proposed an adaptive mechanism to address the tradeoff in antenna and cooperation gain
 - Proposed a simple distributed MAC protocol (MACH) to incorporate the adaptive mechanism
 - Compatible with more sophisticated smart antenna MAC protocols
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Thank You!
